

## Reporting Form Instructions Reporting Form Process

Cannabis Regulatory Agency 517-284-8599 Michigan.gov/CRA CRA-Amendments@michigan.gov



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## Reporting Form Instructions General Overview



**General Overview** 

Per the MMFLA, MRTMA, and the Administrative Rules, applicants/licensees shall report to the agency any proposed changes as indicated in R 420.14 and R 420.802.

The applicant/licensee must submit the Reporting Form for each item being reported and/or requested. If a proposed change applies to both Medical Facilities Licensing and Adult-Use Licensing, only one Reporting Form should be filed as this will be indicated on the Reporting Form.

The Reporting Form must be submitted with the appropriate supporting documentation as listed on page one of the Reporting Form. Reporting Forms must be filed on the Accela Citizen Access Portal (ACA). Any additional documents after the initial submission should also be uploaded to ACA. Please do not email documents.

After initial review of the Reporting Form, additional supporting documentation may be required, and the applicant/licensee will receive a notice from the agency if applicable.

If there are any questions regarding a Reporting Form, please contact the agency by calling (517) 284-8599 or emailing <a href="mailto:CRA-Amendments@michigan.gov">CRA-Amendments@michigan.gov</a>.



# Reporting Form Instructions Supporting Documentation



#### **Reporting Form Instructions – Licensing**

#### **Supporting Documentation**

Before initiating the Reporting Form process, be advised each Reporting Form type will have a specific set of documents that will be required to be submitted with the Reporting Form. The following documentation will be required in addition to the Reporting Form:

#### If reporting criminal convictions, charges, or civil judgments, provide:

Court records detailing the conviction, charge, or judgment

#### If reporting regulatory disciplinary action taken or determined against a licensee, provide:

Notice of disciplinary action from regulatory body

## <u>If reporting the initiation or conclusion of any new judgments, lawsuits, legal proceedings, charges, or government investigations, provide:</u>

Notice of initiation or conclusion of new judgment, lawsuit, legal proceedings, charges, or government investigation

#### If reporting a **new tax liability or delinquency**, provide:

- Copy of Initial Notice and Notice of Release (if applicable)
- Copy of Payment Plan Documentation (if applicable)

#### If reporting a violation of an ordinance or zoning regulation, provide:

Violation notification letter/documents



#### **Reporting Form Instructions - Licensing**

#### **Supporting Documentation**

#### If reporting a new, amended, or terminated agreement, provide:

An executed copy of the agreement, or termination notice.

### If reporting when an **employee has been disciplined or removed** from their position for misconduct related to marijuana sales or transfers, provide:

Explanation of circumstances

#### If reporting a change to an insurance policy, provide:

Updated entire insurance policy including the declarations page

#### If reporting a change to a deed or lease agreement, provide:

• Updated deed or lease agreement

#### If reporting an eviction, provide:

- Eviction Notice
- Withdrawal letter (to withdraw the step 2 license application or license)

#### If reporting the denial or revocation of a municipal marijuana license, provide:

- Municipal denial notification letter/documents
- Withdrawal letter (to withdraw the step 2 license application or license)



# Reporting Form Instructions Licensing Completing the Reporting Form



#### Reporting Form – Licensing - Checklist

**Checklist:** Indicate on the checklist which item you are reporting. Only one item should be reported per reporting form.

Supporting Documents Checklists		
If reporting criminal convictions, charges, or civil judgments, provide:    Court records detailing the conviction, charge, or judgment		
If reporting regulatory disciplinary action taken or determined against a licensee, provide:  Notice of disciplinary action from regulatory body		
If reporting the initiation or conclusion of any new judgments, lawsuits, legal proceedings, charges, or government investigations, provide:		
□ Notice of initiation or conclusion of new judgment, lawsuit, legal proceedings, charges, or government investigation		
If reporting a new tax liability or delinquency, provide:  Copy of Initial Notice and Notice of Release (if applicable) Copy of Payment Plan Documentation (if applicable)		
If reporting a violation of an ordinance or zoning regulation, provide:  Uiolation notification letter/documents		
If reporting a new, amended, or terminated agreement, provide:  An executed copy of the agreement, or termination notice.		
If reporting when an employee has been disciplined or removed from his or her position for misconduct related to marihuana sales or transfers, provide:  □ Explanation of circumstances		
If reporting a change to an insurance policy, provide:  Updated entire insurance policy including the declarations page		
If reporting a change to a deed or lease agreement, provide:  Updated deed or lease agreement		
If reporting an eviction, provide:  Eviction Notice  Withdrawal letter (to withdraw the step 2 license application or license)		
If reporting the denial or revocation of a municipal marijuana license, provide:    Municipal denial notification letter/documents   Withdrawal letter (to withdraw the step 2 license application or license)		



#### **Reporting Form - Licensing - General Information**

**General Information**: Complete all fields within the general information section.

- <u>Main Applicant/Licensee Legal Name</u> This section should be the official name of the company, not an Assumed Name/Doing Business As name.
- <u>Main Applicant/Licensee Prequalification Record Number (e.g., ERG-000000, AU-ER-000000)</u> This section requires all prequalification record numbers the specific Reporting Form will apply to. If you are unsure of the record number, please login to your ACA account to find the correct numbers or send an email to CRA-Amendments@michigan.gov.
- <u>If the update pertains to a supplemental applicant, provide the supplemental applicant's legal name</u> If applicable, this section requires the legal name of the supplemental individual or entity who is reporting a change.
- <u>If the update involves a supplemental applicant, provide the supplemental applicant record number (e.g., IRG-000000, AU-IR-000000)</u> If applicable, this section required the individual prequalification record numbers the specific Reporting Form will apply to.

General Information	
Main Applicant/Licensee Legal Name:	Main Applicant/Licensee Prequalification Record Number (e.g., ERG-000000, AU-ER-000000):
If the update pertains to a supplemental applicant, provide the supplemental applicant legal name:	If the update involves a supplemental applicant, provide the supplemental applicant record number (e.g., IRG-000000, AU-IR-000000):
If the update pertains to a licensed location, provide the assumed name or DBA being used at the location (if applicable):	If the update involves a licensed location, provide the license number (e.g., PC-000000, AU-R-000000):
Change applies to:  Medical (MMFL) Only Adult-Use (AU) Only Both MMFL and AU	Date applicant/licensee became aware of change/update:



#### **Reporting Form - Licensing - General Information**

**General Information**: Complete all fields within the general information section.

- <u>If the update pertains to a licensed location, provide the assumed name or DBA being used at the location (if applicable)</u> This section requires the current name being used for this location, if different than the entity legal name.
- <u>If the update involves a licensed location, provide the license number (e.g., PC-000000, AU-R-000000)</u> This section requires the specific license number the Reporting Form will apply to.
- <u>Change applies to</u> One checkbox must be selected to indicate if this Reporting Form will apply to Medical, Adult-Use, or both.
- <u>Date applicant/licensee became aware of change/update</u> This section requires the date the applicant/licensee became aware of the item being reported.

Main Applicant/Licensee Legal Name:	Main Applicant/Licensee Prequalification Record Number (e.g., ERG-000000, AU-ER-000000):
If the update pertains to a supplemental applicant, provide the supplemental applicant legal name:	If the update involves a supplemental applicant, provide the supplemental applicant record number (e.g., IRG-000000, AU-IR-000000):
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If the update pertains to a licensed location, provide the assumed name or DBA being used at the location (if applicable):	If the update involves a licensed location, provide the license number (e.g., PC-000000, AU- R-000000):
Change applies to:	Date applicant/licensee became aware of change/update:
Medical (MMFL) Only Adult-Use (AU) Only	
Both MMFL and AU	



**Reporting Form - Licensing** 

**Reporting Form Type**: Select the checkbox that is associated to the type of item the applicant/licensee is reporting.

Item(s) Being Reported		
	Criminal convictions, charges, or civil judgments	
	Regulatory disciplinary action taken or determined against a licensee	
	Initiation or conclusion of any new judgments, lawsuits, legal proceedings, charges, or government investigations	
	Tax liability or delinquency	
	Violation of an ordinance or zoning regulation	
	New, amended, or terminated agreement	
	Employee has been disciplined or removed from his or her position for misconduct related to marihuana sales or transfers	
	Change in insurance policies	
	Change in a deed or lease agreement	
	Eviction	
	Denial or revocation of a municipal marijuana license	



#### **Reporting Form - Description of Proposed Reporting Form**

**Provide a Detailed Description of the Item/Change Being Reported**: Give a detailed explanation of the item or change being reported, including the names of all parties involved.

• For example: ABC 123 Inc. has initiated a lawsuit against Green, LLC due to lack of payment.

Provide a Detailed Description of the Item/Change Being Reported		



#### **Reporting Form - Person Completing Form**

**Signature & Declaration**: Complete all fields in this section.

- <u>Signature</u> This form must be signed by an authorized individual.
- <u>Date</u> Provide the date the form was signed.
- <u>Printed Name</u> Provide the name of the person who signed the form.
- <u>Affiliation with Main Applicant/Licensee</u> Provide the affiliation that the person signing the form has with the Main Applicant/Licensee.

Signature & Declaration				
I attest the information I provided on this reporting form is true and accurate and that I will comply with the requirements of the Medical Marihuana Facilities Licensing Act (MMFLA) and/or the Michigan Regulation and Taxation of Marihuana Act (MRTMA) and associated rules. I understand that falsified or fraudulent information could subject the licensee to disciplinary action as provided in the MMFLA, MRTMA, and associated rules, up to and including license revocation.				
Signature:	Date:			
Printed Name:				
Affiliation to Main Applicant/Licensee:				

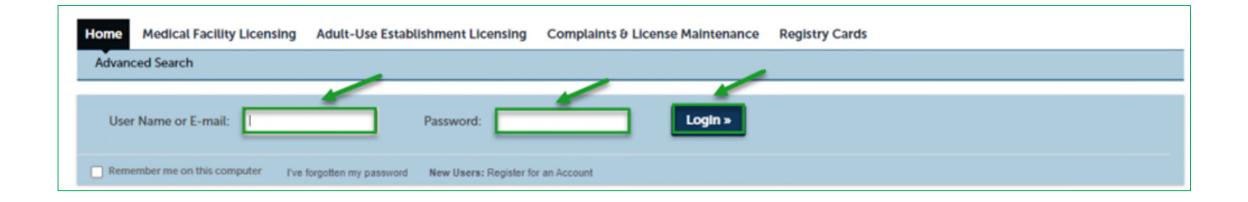


# Reporting Form Instructions Submitting the Reporting Form



**Reporting Form Submission - Login to ACA** 

- Go to www.Michigan.gov/CRAonline.
- Enter **User Name or E-mail.**
- Enter **Password**.
- Select *Login*.



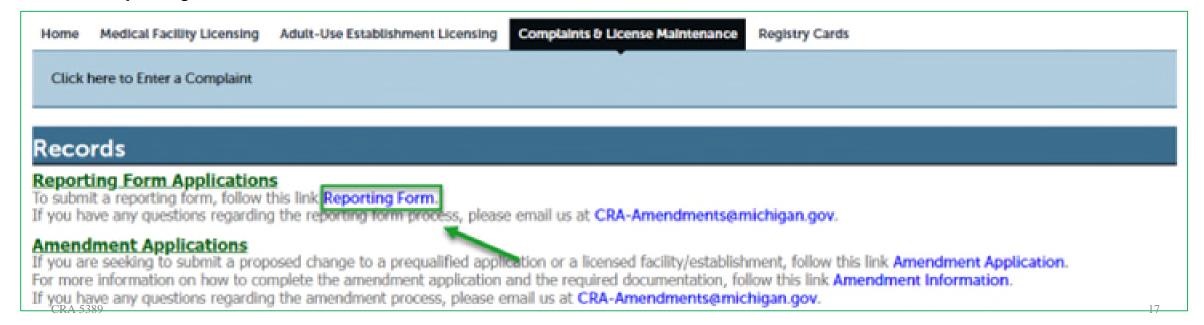


#### **Reporting Form Submission - Select Licensing Section**

• Select Complaints & License Maintenance.



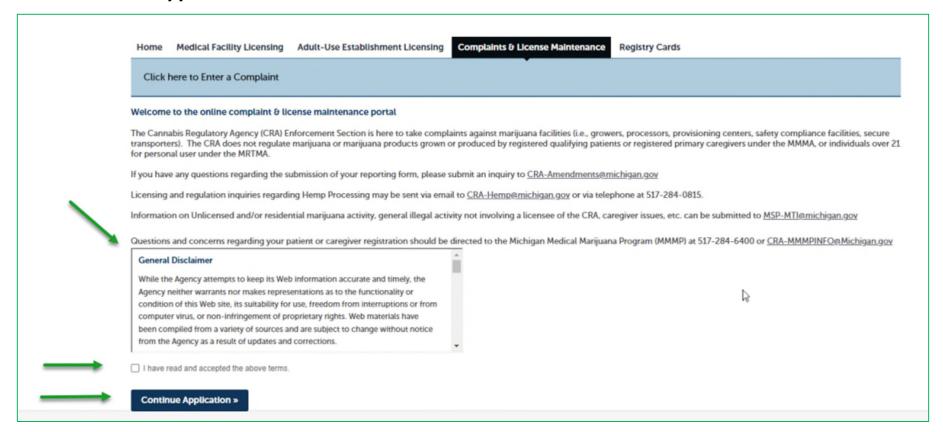
• Select *Reporting Form.* 





#### **Reporting Form Submission - General Disclaimer**

- Read the **General Disclaimer**.
- Check the box stating *I have read and accepted the above terms*.
- Select *Continue Application*.

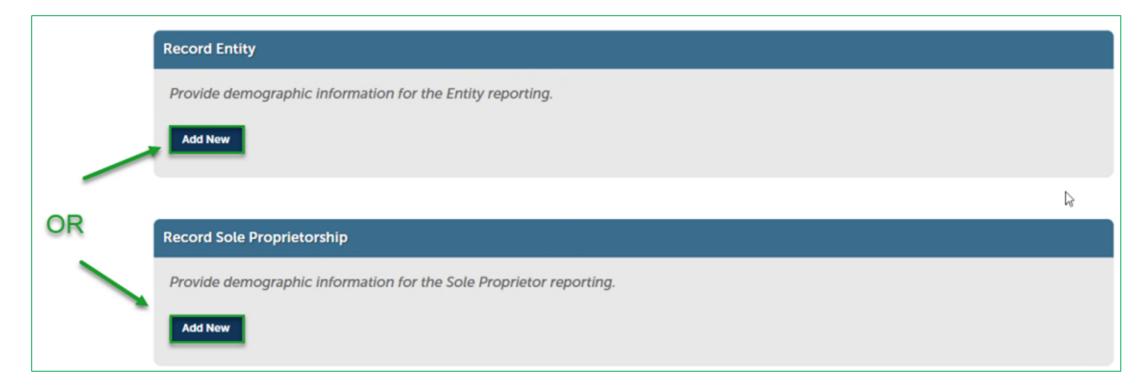




#### **Reporting Form Submission - Select Reporting Form Applicant Contact Type**

- For a Reporting Form for an entity, provide demographic information for the entity by selecting *Add New under Record Entity*.
- For a Reporting Form for an individual, provide demographic information for the individual by selecting *Add New under Record Sole Proprietorship.*

Note: you must *Add New* for either Record Entity OR Record Sole Proprietorship.

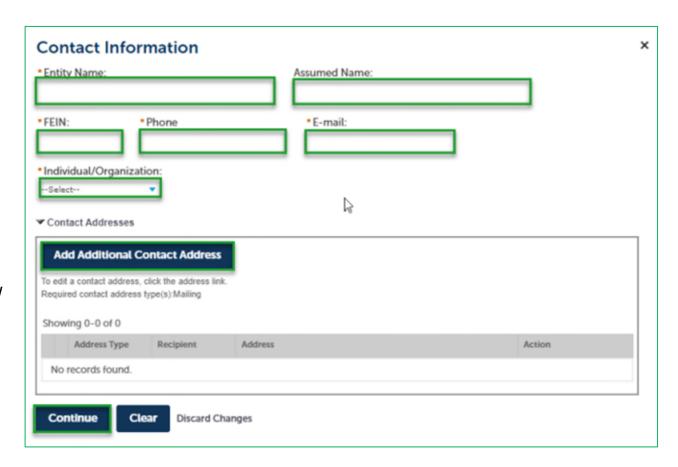




**Reporting Form Submission - Add Contact Info: Entity** 

#### For an entity:

- Enter *Entity* name.
- Enter *Assumed Name* if operating publicly with a name other than the Reporting Form entity name. Separate multiple assumed names with commas.
- Enter Federal Employer Identification Number (FEIN).
- Enter **Phone Number**.
- Enter **E-mail Address**.
- Select *Add Additional Contact Address*. Another window will open.

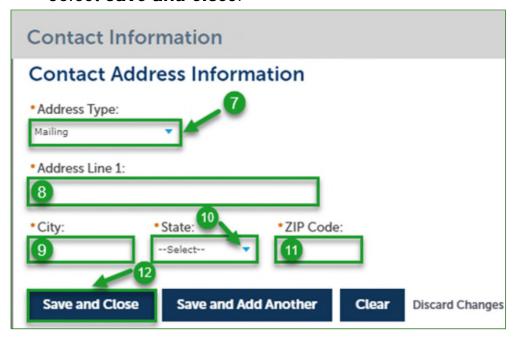




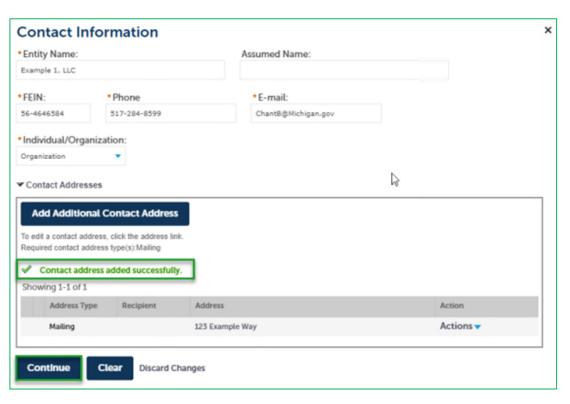
**Reporting Form Submission - Add Contact Address: Entity** 

#### For an entity:

- \*Mailing Address type is required.
- Enter Street Address.
- Enter *City*.
- Select to add State.
- Enter **ZIP Code**.
- Select Save and Close.



- Contact Address Added Successfully message will appear.
- Select *Continue*.

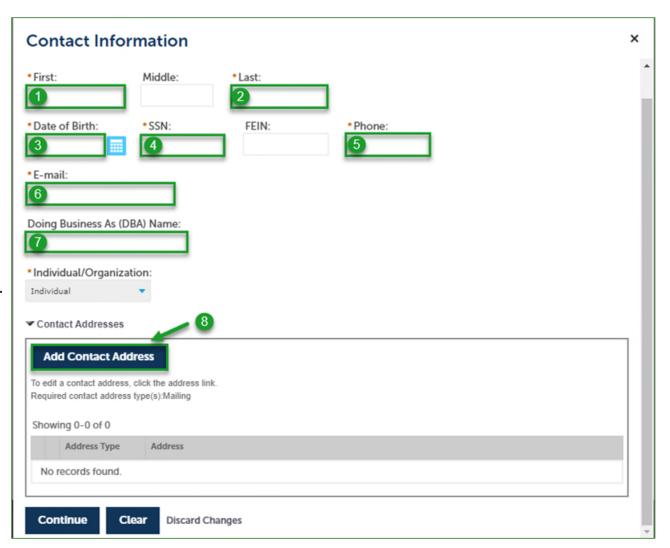




#### Reporting Form Submission - Add Contact Info: Individual

#### For an individual:

- Enter Individual's First Name.
- Enter Individual's Last Name.
- Enter Individual's **Date of Birth (DOB)**.
- Enter Individual's **Social Security Number (SSN)**.
- Enter Individual's **Phone Number**.
- Enter Individual's E-mail Address.
- Enter Individual's **Doing Business As (DBA)**, if applicable.
- Select *Add Contact Address*. Another window will open.

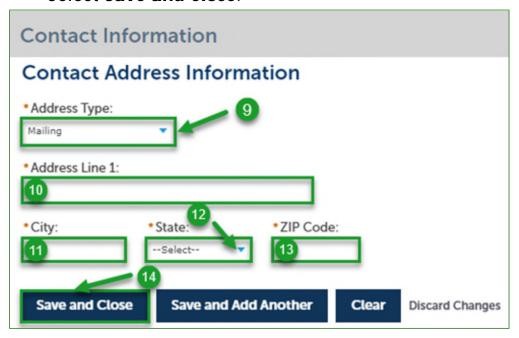




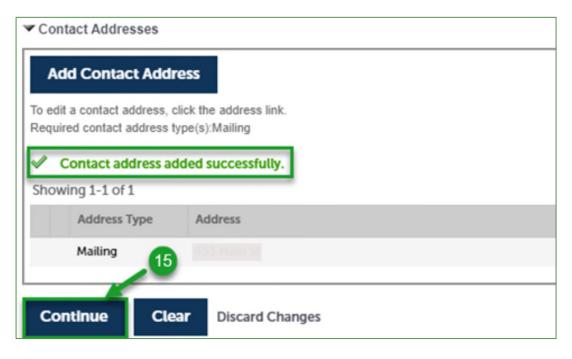
#### **Reporting Form Submission - Add Contact Address: Individual**

#### For an individual:

- \*Mailing Address type is required.
- Enter Street Address.
- Enter *City*.
- Select to add **State**.
- Enter **ZIP Code**.
- Select Save and Close.



- Contact Address Added Successfully message will appear.
- Select *Continue*.



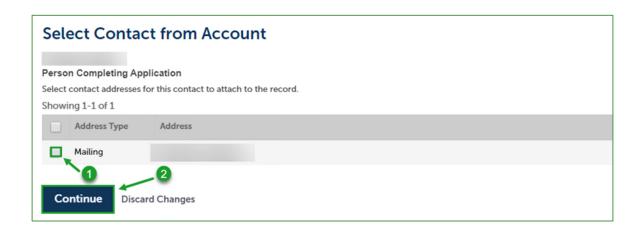


#### **Reporting Form Submission - Select Person Completing Application Contact**

Choose Select from Account.



- Select the box for *Mailing Address*.
- Select *Continue*.



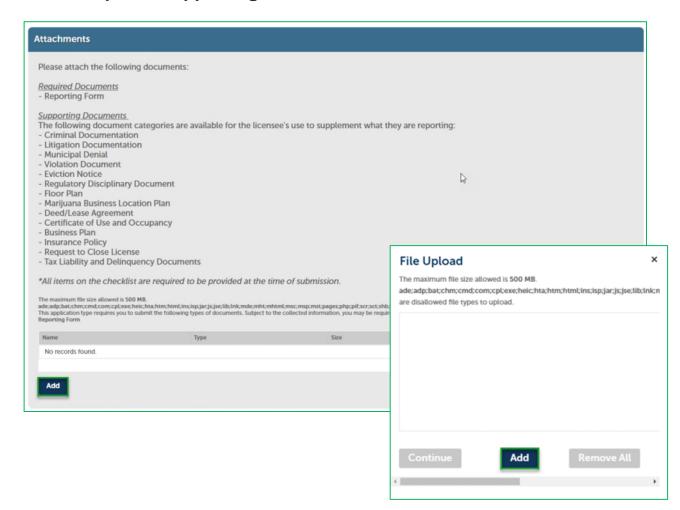
 After entering the demographic information for the Reporting Form applicant and the person completing the application, select *Next*





#### **Reporting Form Submission - Upload Supporting Documents**

- All applicable items on the checklist are required to be provided at the time of submission.
- Failure to submit any of the applicable checklist items may result in the denial of your reporting form.
- Each document in the checklist must be uploaded individually; documents cannot be combined and uploaded as a single PDF.
- To attach documents, Select Add on the attachments page.
- Select Add on the file upload pop out window. Please see next page to continue.

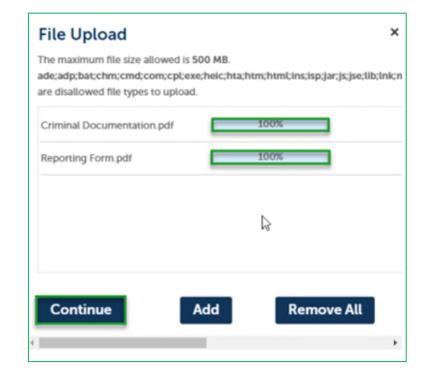




#### **Reporting Form Submission - Upload Supporting Documents**

- **Select** and **Open** the file(s) you wish to upload.
  - Attachments should be uploaded in PDF format.
  - Files should be named according to their document type.
     For example, the Reporting Form PDF should be named "Reporting Form."
- Name Date modified Туре Size Today (2) Criminal Documentation Kofax Power PDF .. 38 KB 9/29/2022 10:39 AM Reporting Form 9/29/2022 10:38 AM Kofax Power PDF ... 37 KB Ğ All Files Open Cancel

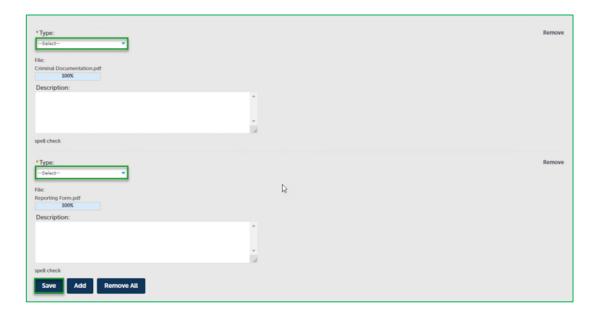
• Confirm the file(s) are 100% uploaded and select *Continue*.





#### **Reporting Form Submission - Upload Supporting Documents**

- Select document *Type*.
  - All documents on the checklist have a corresponding type. You must choose the corresponding document type for each document that is uploaded. For example, when uploading the Criminal Documentation, you must select the "Criminal Documentation" type.
- Select Save.
- You must repeat the process depicted for the Reporting Form and for all applicable documents on the checklist.

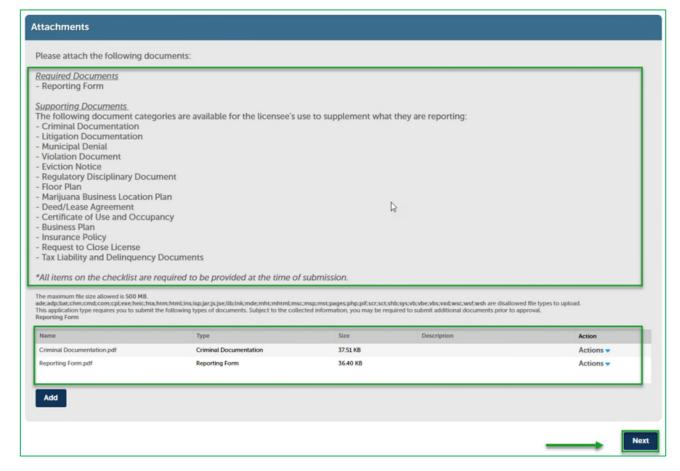


Business Plan Certificate of Use and Occupancy Criminal Documentation Deed/Lease Agreement **Eviction Notice** Floor Plan Insurance Policy Litigation Marijuana Business Location Plan Municipal Denial Other Regulatory Disciplinary Document Reporting Form Request to Close License Tax Liability and Delinquency Documents Violation Document



#### **Reporting Form Submission - Upload Supporting Documents**

 After all applicable documents have been uploaded and their corresponding document types have been selected and saved, select Continue Application.





#### **Reporting Form Submission - Application Certification**

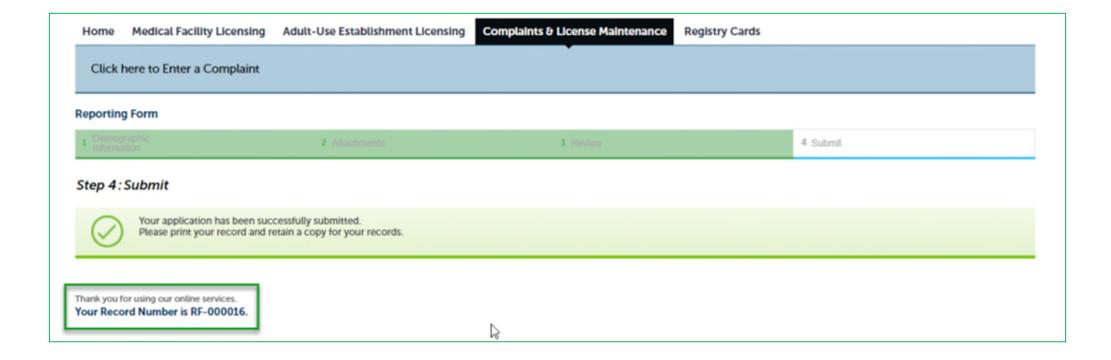
- After reviewing the Reporting Form, *Check* the box to electronically sign and file the reporting form thus certifying that the reporting form is true, complete, correct, and that no material information has been omitted.
- Select *Next* to submit the reporting form.





#### **Reporting Form Submission - Record Issuance**

• The Reporting Form has now been submitted. Retain a copy of the record number.





#### **Reporting Form Submission - Submission Email**

- The person completing the application and the Reporting Form applicant will receive the below email confirming the record was submitted, containing:
  - o The Reporting Form number.
  - o The Reporting Form name.

Dear Example 1, LLC,

You have successfully submitted a reporting form. The reporting form information is below. Make sure to retain this information for your records.

Reporting Form Name: Example 1, LLC Reporting Form Number: RF-000016

An analyst will contact you if further information is required or when a determination has been made.

If there are any questions regarding this reporting form, please contact the Cannabis Regulatory Agency via telephone at (517) 284-8599 or via email at CRA-Amendments@michigan.gov.

Thank you,

Cannabis Regulatory Agency Licensing Division (517) 284-8599

CRA-Amendments@michigan.gov

www.michigan.gov/cra



517-284-8599 CRA-Amendments@michigan.gov Michigan.gov/CRA